

## ON SPECTRA OF HERMITIAN RANDIĆ MATRIX OF SECOND KIND

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**ABSTRACT.** Let  $X$  be a mixed graph and  $\omega = \frac{1+i\sqrt{3}}{2}$ . We write  $i \rightarrow j$ , if there is an oriented edge from a vertex  $v_i$  to another vertex  $v_j$ , and  $i \sim j$  for an un-oriented edge between the vertices  $v_i$  and  $v_j$ . The degree of a vertex  $v_i$  is denoted by  $d_i$ . We propose the Hermitian Randić matrix of second kind  $R^\omega(X) := (R_{ij}^\omega)$ , where  $R_{ij}^\omega = \frac{1}{\sqrt{d_i d_j}}$  if  $i \sim j$ ,  $R_{ij}^\omega = \frac{\omega}{\sqrt{d_i d_j}}$  and  $R_{ji}^\omega = \frac{\bar{\omega}}{\sqrt{d_i d_j}}$  if  $i \rightarrow j$ , and 0 otherwise. In this paper, we investigate some spectral features of this novel Hermitian matrix and study a few properties like positiveness, bipartiteness, edge-interlacing etc. We also compute the characteristic polynomial for this new matrix and obtain some upper and lower bounds for the eigenvalues and the energy of this matrix.

### 1. INTRODUCTION

There has been an upsurge of studies related to spectral properties of graph theoretical matrices. Investigation of these properties play a vital role in analysing some properties of networks. In recent times, the extensions of spectral theory of un-oriented networks to mixed networks is a popular topic. In comparison to the un-oriented networks, the mixed networks are much better to model the real world problems. However, we see that many graph matrices for mixed networks appear to be non-symmetric, losing the property that eigenvalues are real.

Recently, many researcher studied the spectral properties of adjacency matrix, Laplacian matrix, normalized Laplacian matrix etc. of mixed networks by incorporating modified versions of these matrices. For details, see [1, 2, 25, 27]. In 2015, Yu and Qu [26] described some notable works on Hermitian Laplacian matrix of mixed graphs. In the same year, Liu and Li [15] studied some properties of Hermitian adjacency matrix. They also determined some bounds for energies of mixed graphs. Yu et al. [24] in 2019, defined the Hermitian normalized Laplacian matrix and studied some spectral properties for mixed networks. In 2020, B. Mohar [19] introduced a new modified Hermitian matrix that seems more natural. Some relevant notable works can be found in [14, 20, 22, 23].

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MSC(2020): Primary: 05C50; Secondary: 05C09, 05C31.

Keywords: Mixed graph; Hermitian adjacency matrix; Hermitian Randić matrix; Graph energy.

Received: 23 December 2023, Accepted: 17 May 2024.

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The energy levels of  $\pi$ -electrons in conjugated hydrocarbons in molecular orbital are strongly related in spectral graph theory. In 1978, Gutman [9] developed the notion of graph energy based on eigenvalues of a graph. Since then it plays an important role in chemical graph theory. Later many variants of graph energy, based on different matrices other than the adjacency matrix, were proposed as a consequence of the success of the notion of graph energy, for details see [3, 7, 12, 13]. In 2010, Bozkurt et al. [6] proposed the Randić energy of graph as the sum of the absolute values of the eigenvalues of the Randić matrix. In 2017, Lu et al. [16] introduced Hermitian Randić matrix for mixed graphs, which was based on the Hermitian adjacency matrix proposed by Guo and Mohar [8]. They also investigated the energy for this matrix. In this paper, we define the Hermitian Randić matrix of second kind of a mixed graph, and study some properties of its eigenvalues and energy.

## 2. BASIC DEFINITIONS

Throughout the paper, we consider connected simple graph with at least two vertices. Let  $X$  be an un-oriented graph. We denote an edge of  $X$  between the vertices  $v_i$  and  $v_j$  by  $e_{ij}$ . Note that the edge  $e_{ij}$  can be assigned two orientations. An oriented edge originating at  $v_i$  and terminating at  $v_j$  is denoted by  $\vec{e}_{ij}$ . For each edge  $e_{ij} \in E(X)$ , there is a pair of oriented edges  $\vec{e}_{ij}$  and  $\vec{e}_{ji}$ . The collection  $\vec{E}(X) := \{\vec{e}_{ij}, \vec{e}_{ji} : e_{ij} \in E(X)\}$  is the oriented edge set associated with  $X$ . Note that each edge of an un-oriented graph is of the form  $e_{ij}$ . The set  $\vec{E}(X)$  is the collection of all possible oriented edges of an un-oriented graph  $X$ .

A graph  $X$  is said to be mixed if it has both possibilities of edges that are oriented and un-oriented. If  $X$  is a mixed graph, then at most one of  $e_{ij}$ ,  $\vec{e}_{ij}$  and  $\vec{e}_{ji}$  can be in  $E(X)$ . We write  $i \rightarrow j$ , if there is an oriented edge from vertex  $v_i$  to vertex  $v_j$ , and  $i \sim j$  for an un-oriented edge between the vertices  $v_i$  and  $v_j$ . The graph  $X_U$  obtained from a mixed graph  $X$  by replacing each of the oriented edge of  $X$  by the corresponding un-oriented edge is called the *underlying graph* of  $X$ . A *cycle* in a mixed graph is a cycle in its underlying graph. A cycle is even or odd according as its order is even or odd.

A *gain graph* or  $\mathbb{T}$ -gain graph is a triplet  $\Phi := (X, \mathbb{T}, \varphi)$ , where  $X$  is an un-oriented graph,  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ , and  $\varphi : \vec{E}(X) \rightarrow \mathbb{T}$  is a function satisfying  $\varphi(\vec{e}_{ij}) = \varphi(\vec{e}_{ji})^{-1}$  for each  $e_{ij} \in E(X)$ . The function  $\varphi$  is called the *gain function* of  $(X, \mathbb{T}, \varphi)$ . For simplicity, we use  $\Phi := (X, \varphi)$  to denote a  $\mathbb{T}$ -gain graph instead of  $\Phi := (X, \mathbb{T}, \varphi)$ . For a  $\mathbb{T}$ -gain graph  $\Phi := (X, \mathbb{T}, \varphi)$ , the  $\mathbb{T}$ -gain graph  $-\Phi$  is defined by  $-\Phi := (X, -\varphi)$ . In [22], Reff proposed

the notion of the adjacency matrix  $A(\Phi) := (a_{ij})$  of a  $\mathbb{T}$ -gain graph, where

$$a_{ij} = \begin{cases} \varphi(\vec{e}_{ij}) & \text{if } i \rightarrow j \\ 0 & \text{otherwise.} \end{cases}$$

It is clear that  $A(\Phi)$  is Hermitian. Thus the eigenvalues of this matrix are real. If  $\varphi(\vec{e}_{ij}) = 1$  for all  $\vec{e}_{ij}$ , then we have  $A(\Phi) = A(X)$ , where  $A(X)$  is the usual  $(0, 1)$ -adjacency matrix of the graph  $X$ . Thus one can assume a graph  $X$  as a  $\mathbb{T}$ -gain graph  $(X, \mathbf{1})$ , where  $\mathbf{1}$  is the function that assign 1 to each edge of  $\vec{E}(X)$ . A *switching function*  $\zeta$  of  $X$  is a function from  $V(X)$  to  $\mathbb{T}$ , that is,  $\zeta : V(X) \rightarrow \mathbb{T}$ . Two gain graphs  $\Phi_1 := (X, \varphi_1)$  and  $\Phi_2 := (X, \varphi_2)$  are said to be *switching equivalent*, written  $\Phi_1 \sim \Phi_2$ , if there exists a switching function  $\zeta : V(X) \rightarrow \mathbb{T}$  such that  $\varphi_2(\vec{e}_{ij}) = \zeta(i)^{-1} \varphi_1(\vec{e}_{ij}) \zeta(j)$ .

It is clear from the definition that the gain graphs  $\Phi_1$  and  $\Phi_2$  are switching equivalent if and only if there is a diagonal matrix  $D_\zeta$ , where the diagonal entries come from  $\mathbb{T}$ , such that

$$A(\Phi_2) = D_\zeta^{-1} A(\Phi_1) D_\zeta.$$

In 2015, Guo and Mohar [8] introduced a Hermitian adjacency matrix  $H(X)$  of a mixed graph  $X$ , where the  $ij$ -th entry is  $\mathbf{i}$ ,  $-\mathbf{i}$  or 1 according as  $\vec{e}_{ij} \in E(X)$ ,  $\vec{e}_{ji} \in E(X)$  or  $e_{ij} \in E(X)$ , respectively, and 0 otherwise. Here  $\mathbf{i} = \sqrt{-1}$ . This matrix has numerous appealing characteristics, including real eigenvalues and the interlacing theorem for mixed graphs etc.

Later in 2020, Mohar [19] put forward a new Hermitian adjacency matrix  $H^\omega(X) := (h_{ij})$  of a mixed graph  $X$ , which is referred as Hermitian matrix of second kind, where

$$h_{ij} = \begin{cases} 1 & \text{if } i \sim j \\ \omega & \text{if } i \rightarrow j \\ \bar{\omega} & \text{if } j \rightarrow i \\ 0 & \text{otherwise.} \end{cases}$$

Here  $\omega := \frac{1+i\sqrt{3}}{2}$ , a primitive sixth root of unity and  $\bar{\omega} := \frac{1-i\sqrt{3}}{2}$ . For a mixed graph  $X$ , let  $(X_U, \boldsymbol{\omega})$  represent the  $\mathbb{T}$ -gain graph with the gain function  $\boldsymbol{\omega} : \vec{E}(X_U) \rightarrow \{1, \omega, \bar{\omega}\}$ , where

$$\boldsymbol{\omega}(\vec{e}_{ij}) = \begin{cases} 1 & \text{if } e_{ij} \in E(X) \\ \omega & \text{if } \vec{e}_{ij} \in E(X) \\ \bar{\omega} & \text{if } \vec{e}_{ji} \in E(X). \end{cases}$$

Note that  $H^\omega(X) = A(\Phi)$  for  $\Phi = (X_U, \boldsymbol{\omega})$ .

With the growing popularity of these Hermitian matrices, the idea of investigating spectral properties of mixed networks based on other graph matrices is also evolved. The matrix  $D^{-1/2}A(X)D^{-1/2}$  is called the normalized adjacency matrix of an un-oriented graph  $X$ , where  $D = \text{diag}(d_1, \dots, d_n)$  and  $d_i$  denote the degree of the vertex  $v_i$  for  $i \in \{1, \dots, n\}$ . This matrix is popularly known as the Randić matrix  $R(X)$ .

The matrix  $D^{-1/2}H(X)D^{-1/2}$  is called the Hermitian Randić matrix, denoted  $R_H(X)$ , of a mixed graph  $X$ . Similarly, the matrix  $D^{-1/2}H^\omega(X)D^{-1/2}$  is called the Hermitian Randić matrix of second kind, denoted  $R^\omega(X)$ , of a mixed graph  $X$ .

If  $R^\omega(X) := (R_{ij}^\omega)$ , we find that

$$R_{ij}^\omega = \begin{cases} \frac{1}{\sqrt{d_i d_j}} & \text{if } i \sim j \\ \frac{\omega}{\sqrt{d_i d_j}} & \text{if } i \rightarrow j \\ \frac{\bar{\omega}}{\sqrt{d_i d_j}} & \text{if } j \rightarrow i \\ 0 & \text{otherwise.} \end{cases}$$

Clearly,  $R^\omega(X)$  is Hermitian. Let  $L^\omega(X) = D - H^\omega(X)$  and

$$\mathfrak{L}^\omega(X) = D^{-1/2}L^\omega(X)D^{-1/2}.$$

The matrices  $L^\omega(X)$  and  $\mathfrak{L}^\omega(X)$  are known as the Hermitian Laplacian matrix of second kind and the normalized Hermitian Laplacian matrix of second kind of  $X$ , respectively. It is clear that  $R^\omega(X) = I - \mathfrak{L}^\omega(X)$ , where  $I$  is the identity matrix of appropriate order.

The Randić matrix  $R(\Phi)$  of a  $\mathbb{T}$ -gain graph  $\Phi$  is defined by  $R(\Phi) := D^{-1/2}A(\Phi)D^{-1/2}$ . Similarly, the matrix  $I - R(\Phi)$  is called the normalized Laplacian matrix of a  $\mathbb{T}$ -gain graph  $\Phi$ . If  $X$  is a mixed graph, then we see that  $R^\omega(X) = R(\Phi)$ , where  $\Phi = (X_U, \omega)$ .

A walk (or path) in a mixed graph is a walk (or path) in its underlying graph. The value of a walk  $W := v_{i_1}v_{i_2} \cdots v_{i_\ell}$  in a mixed graph  $X$  is defined as  $R_{i_1 i_2}^\omega R_{i_2 i_3}^\omega \cdots R_{i_{\ell-1} i_\ell}^\omega$ . A walk is called positive or negative according as its value is positive or negative, respectively. An acyclic mixed graph is defined to be positive. A non-acyclic mixed graph is positive or negative according as each of its mixed cycle is positive or negative, respectively. A mixed graph  $X$  is called an *elementary graph* if each component of  $X$  is an edge or a cycle.

For the easy reference of the reader, we list the various notations and names of matrices associated with an un-oriented graph, mixed graph or a gain graph in Table 1, Table 2, Table 3 and Table 4. Here  $D$  denotes the degree matrix of an un-oriented graph, or the degree matrix of the corresponding underlying

TABLE 1.  $X$  is an un-oriented graph

adjacency matrix	Randić matrix $R(X)$	Laplacian matrix $L(X)$	normalized Laplacian matrix $\mathfrak{L}(X)$
$A(X)$	$R(X) = D^{-1/2}A(X)D^{-1/2}$	$L(X) = D - A(X)$	$\mathfrak{L}(X) = D^{-1/2}L(X)D^{-1/2}$ $= I - R(X)$

TABLE 2.  $X$  is a mixed graph

Hermitian adjacency matrix	Hermitian Randić matrix $R_H(X)$	Hermitian Laplacian matrix $L_H(X)$	normalized Hermitian Laplacian matrix $\mathfrak{L}_H(X)$
$H(X)$	$R_H(X) = D^{-1/2}H(X)D^{-1/2}$	$L_H(X) = D - H(X)$	$\mathfrak{L}_H(X) = D^{-1/2}L_H(X)D^{-1/2}$ $= I - R_H(X)$

TABLE 3.  $X$  is a mixed graph

Hermitian adjacency matrix of 2nd kind	Hermitian Randić matrix of 2nd kind $R^\omega(X)$	Hermitian Laplacian matrix of 2nd kind $L^\omega(X)$	normalized Hermitian Laplacian matrix of 2nd kind $\mathfrak{L}^\omega(X)$
$H^\omega(X)$	$R^\omega(X) = D^{-1/2}H^\omega(X)D^{-1/2}$	$L^\omega(X) = D - H^\omega(X)$	$\mathfrak{L}^\omega(X) = D^{-1/2}L^\omega(X)D^{-1/2}$ $= I - R^\omega(X)$

TABLE 4.  $\Phi$  is a gain graph

adjacency matrix	Randić matrix $R(\Phi)$	Laplacian matrix $L(\Phi)$	normalized Laplacian matrix $\mathfrak{L}(\Phi)$
$A(\Phi)$	$R(\Phi) = D^{-1/2}A(\Phi)D^{-1/2}$	$L(\Phi) = D - A(\Phi)$	$\mathfrak{L}(\Phi) = D^{-1/2}L(\Phi)D^{-1/2}$ $= I - R(\Phi)$

graph in case of a mixed graph or gain graph. Further,  $I$  denotes the identity matrix of appropriate order.

### 3. SPECTRAL PROPERTIES OF HERMITIAN RANDIĆ MATRIX OF SECOND KIND

In this section, we characterize some spectral properties of  $R^\omega(X)$ . We continue with some known results which are associated to our findings.

Let  $\mathcal{M}_n(\mathbb{C})$  denote the set of all  $n \times n$  matrices with complex entries. For  $A \in \mathcal{M}_n(\mathbb{C})$ , the matrix whose entries are absolute values of the corresponding entries of  $A$  is denoted by  $|A|$ . The maximum of the absolute values of the eigenvalues of a matrix  $A$  is called the *spectral radius* of  $A$ . It is denoted by  $\rho(A)$ . Further, the spectrum of  $A$  is denoted by  $\text{Spec}(A)$ .

**Theorem 3.1** ([28]). *A  $\mathbb{T}$ -gain graph  $(X, \varphi)$  is positive if and only if  $(X, \varphi) \sim (X, \mathbf{1})$ .*

**Theorem 3.2** ([18]). *Let  $(X, \varphi)$  be a connected and positive  $\mathbb{T}$ -gain graph. Then  $X$  is bipartite if and only if  $(X, -\varphi)$  is positive.*

**Theorem 3.3** ([10]). *Let  $A, B \in \mathcal{M}_n(\mathbb{C})$ . Suppose  $A$  is non-negative and irreducible, and  $A \geq |B|$ . Let  $\lambda := e^{i\theta} \rho(B)$  be a maximum-modulus eigenvalue of  $B$ . If  $\rho(A) = \rho(B)$ , then there is a diagonal unitary matrix  $D \in \mathcal{M}_n(\mathbb{C})$  such that  $B = e^{i\theta} DAD^{-1}$ .*

In [12], Kannan et al. studied the normalized Laplacian matrix for gain graphs. They also characterized some spectral properties for the Randić matrix of an un-oriented graph.

**Lemma 3.4** ([12]). *Let  $X$  be a connected graph. Then*

$$\text{Spec}(R(X)) = \text{Spec}(-R(X))$$

*if and only if  $X$  is bipartite.*

**Lemma 3.5** ([12]). *Let  $\Phi_1$  and  $\Phi_2$  be two connected gain graphs. If  $\Phi_1 \sim \Phi_2$ , then  $\text{Spec}(R(\Phi_1)) = \text{Spec}(R(\Phi_2))$ .*

**Lemma 3.6** ([12]). *If  $\Phi := (X, \varphi)$  is a connected gain graph, then*

$$\rho(R(\Phi)) \leq \rho(|R(\Phi)|) = \rho(R(X)).$$

The following result is an immediate consequence of the preceding lemmas.

**Theorem 3.7.** *Let  $X$  be a mixed graph. Then  $\text{Spec}(R^\omega(X)) = \text{Spec}(R(X_U))$  if and only if  $(X_U, \omega) \sim (X_U, \mathbf{1})$ .*

*Proof.* If  $\text{Spec}(R^\omega(X)) = \text{Spec}(R(X_U))$ , then by Theorem 3.3

$$R^\omega(X) = e^{i\theta} D_\zeta R(X_U) D_\zeta^{-1},$$

where  $D_\zeta$  is a diagonal unitary matrix. Hence

$$\begin{aligned} D_\zeta^{-1} R^\omega(X) D_\zeta &= e^{i\theta} R(X_U) \\ \text{or, } D_\zeta^{-1} D^{-1/2} H^\omega(X) D^{-1/2} D_\zeta &= e^{i\theta} D^{-1/2} A(X_U) D^{-1/2} \\ \text{or, } H^\omega(X) &= e^{i\theta} D_\zeta A(X_U) D_\zeta^{-1}. \end{aligned}$$

Since both the matrices  $H^\omega(X)$  and  $A(X_U)$  are Hermitian,  $\theta$  is either 0 or  $\pi$ . This gives that either  $(X_U, \omega) \sim (X_U, \mathbf{1})$  or  $(X_U, \omega) \sim (X_U, -\mathbf{1})$ . If  $(X_U, \omega) \sim (X_U, \mathbf{1})$ , we are done. If  $(X_U, \omega) \sim (X_U, -\mathbf{1})$ , then by Lemma 3.5, we have  $\text{Spec}(R^\omega(X)) = \text{Spec}(-R(X_U))$ . Again as

$$\text{Spec}(R^\omega(X)) = \text{Spec}(R(X_U)),$$

we have  $\text{Spec}(R(X_U)) = \text{Spec}(-R(X_U))$ . Thus by Lemma 3.4,  $X_U$  is bipartite. Now applying Theorem 3.2 for the positive gain graph  $(X_U, -\omega)$ , we find that  $(X_U, \omega)$  is positive, and hence  $(X_U, \omega) \sim (X_U, \mathbf{1})$ . Conversely, if  $(X_U, \omega) \sim (X_U, \mathbf{1})$ , then clearly  $\text{Spec}(R^\omega(X)) = \text{Spec}(R(X_U))$ .  $\square$

**Theorem 3.8.** *Let  $X$  be a mixed graph of order  $n$ , where  $n \geq 2$ . If  $\text{Spec}(R^\omega(X)) = \{\lambda_1, \dots, \lambda_n\}$ , then  $-1 \leq \lambda_k \leq 1$  for each  $k \in \{1, \dots, n\}$ .*

*Proof.* Let  $\rho(R^\omega(X))$  denote the spectral radius of the matrix  $R^\omega(X)$  and let  $X_U$  be the underlying graph of the mixed graph  $X$ . Then by using Lemma 3.6 and the definition of spectral radius, we have

$$|\lambda_k| \leq \rho(R^\omega(X)) \leq \rho(R^\omega(X_U)) = 1.$$

$\square$

In order to determine some spectral properties of the matrix  $R^\omega(X)$ , we now provide the following lemma. In what follows,  $h_{ij}$  always represents the  $ij$ -th entry of  $H^\omega(X)$ .

**Lemma 3.9.** *If  $X$  be a mixed graph on  $n$  vertices, and  $\mathbf{y} := (y_1, \dots, y_n)^t \in \mathbb{C}^n$ , then*

$$\mathbf{y}^* H^\omega(X) \mathbf{y} = \sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - (|y_i|^2 + |y_j|^2)).$$

*Proof.* We have

$$\begin{aligned} \mathbf{y}^* H^\omega(X) \mathbf{y} &= \sum_{i < j, e_{ij} \in E(X_U)} (\bar{y}_i h_{ij} y_j + y_i \bar{h}_{ij} \bar{y}_j) \\ &= \sum_{i < j, e_{ij} \in E(X_U)} ((\bar{y}_i h_{ij} y_j + y_i \bar{h}_{ij} \bar{y}_j) + \bar{y}_i y_i + \bar{y}_j y_j - \bar{y}_i y_i - \bar{y}_j y_j) \\ &= \sum_{i < j, e_{ij} \in E(X_U)} ((\bar{y}_i h_{ij} y_j + \bar{y}_i y_i) + (y_i \bar{h}_{ij} \bar{y}_j + \bar{y}_j \bar{h}_{ij} h_{ij} y_j) - (|y_i|^2 + |y_j|^2)) \\ &= \sum_{i < j, e_{ij} \in E(X_U)} ((y_i + h_{ij} y_j)(\bar{y}_i + \bar{h}_{ij} \bar{y}_j) - (|y_i|^2 + |y_j|^2)), \quad \text{as } |h_{ij}|^2 = 1 \\ &= \sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij} y_j|^2 - (|y_i|^2 + |y_j|^2)). \end{aligned} \quad \square$$

Eigenvalue interlacing is a popular technique for generating inequality and regularity conclusions regarding graph structure in terms of eigenvalues. We provide an edge version of interlacing properties for  $R^\omega(X)$ . First, we present two basic inequalities in the following lemma. The proofs are straight forward.

**Lemma 3.10.** *Let  $a$ ,  $b$ , and  $c$  be three real numbers such that  $b > 0$ ,  $c > 0$  and  $b - c > 0$ .*

- (i) If  $\frac{a}{b} \leq 1$ , then  $\frac{a-c}{b-c} \leq \frac{a}{b}$ .
- (ii) If  $|\frac{a}{b}| \leq 1$ , then  $\frac{a+c}{b-c} \geq \frac{a}{b}$ .

**Theorem 3.11.** *Let  $X$  be a mixed graph on  $n$  vertices and  $X - e$  be the graph obtained by removing the edge  $e$  of  $X$ . Let  $\text{Spec}(R^\omega(X)) = \{\lambda_1, \dots, \lambda_n\}$  and  $\text{Spec}(R^\omega(X - e)) = \{\theta_1, \dots, \theta_n\}$ . Then*

$$\lambda_{k-1} \leq \theta_k \leq \lambda_{k+1}$$

for each  $k \in \{1, \dots, n\}$  with the convention that  $\lambda_0 = -1$  and  $\lambda_{n+1} = 1$ .

*Proof.* For a complex vector  $\mathbf{x}$ , let  $\mathbf{y} := D^{-1/2}\mathbf{x}$ , where  $D$  is the diagonal degree matrix of the underlying graph  $X_U$ . Let  $y_i$  be the  $i$ -th coordinate of  $\mathbf{y}$ . By Lemma 3.9, we have

$$\mathbf{x}^* R^\omega(X) \mathbf{x} = \sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2).$$

Also,  $\mathbf{x}^* \mathbf{x} = \sum_{i=1}^n d_i |y_i|^2$ . By Courant-Fischer Theorem, we have

$$\begin{aligned} \lambda_k &= \max_{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)} \in \mathbb{C}^n} \min_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)}\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \frac{\mathbf{x}^* R^\omega(X) \mathbf{x}}{\mathbf{x}^* \mathbf{x}} \\ &= \max_{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)} \in \mathbb{C}^n} \min_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)}\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)}{\sum_{i=1}^n d_i |y_i|^2}. \end{aligned} \quad (3.1)$$

Using min-max version of Courant-Fischer theorem, we can also write Equation (3.1) as

$$\lambda_k = \min_{\mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)} \in \mathbb{C}^n} \max_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)}\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)}{\sum_{i=1}^n d_i |y_i|^2}. \quad (3.2)$$

Without loss of generality, let  $e$  be the edge joining the vertex  $v_1$  and  $v_2$ . After deleting the edge  $e$ , the degrees of the vertices  $v_1$  and  $v_2$  are decreased by 1. Hence for  $G - e$ , the expression  $\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)$

becomes  $\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) - (|y_1 + h_{12}y_2|^2 - |y_1|^2 - |y_2|^2)$

and  $\sum_{i=1}^n d_i |y_i|^2$  becomes  $\sum_{i=1}^n d_i |y_i|^2 - |y_1|^2 - |y_2|^2$ . Therefore

$$\theta_k = \max_{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)} \in \mathbb{C}^n} \min_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)}\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \Upsilon,$$

$$\text{where } \Upsilon = \frac{\sum_{i < j, e_{ij} \in E(X_U)} ((|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) - (|y_1 + h_{12}y_2|^2 - |y_1|^2 - |y_2|^2))}{\sum_{i=1}^n d_i |y_i|^2 - |y_1|^2 - |y_2|^2}.$$

Let  $x_i$  and  $y_i$  be the  $i$ -th coordinates of  $\mathbf{x}$  and  $\mathbf{y}$ , respectively. Choose  $x_1$  and  $x_2$  such that  $\sqrt{d_2}x_1 = h_{12}\sqrt{d_1}x_2$ . Then  $y_1 = h_{12}y_2$ , and so  $|y_1 + h_{12}y_2|^2 = 2(|y_1|^2 + |y_2|^2)$ . Thus for  $a = |y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2$ ,  $b = \sum_{i=1}^n d_i |y_i|^2$  and  $c = 2|y_1|^2$  in Lemma 3.10, we have

$$\frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) - 2|y_1|^2}{\sum_{i=1}^n d_i |y_i|^2 - 2|y_1|^2} \leq \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)}{\sum_{i=1}^n d_i |y_i|^2}.$$

Note that if  $\mathbf{x} \perp (\sqrt{d_2}e_1 - h_{12}\sqrt{d_1}e_2)$ , then  $\sqrt{d_2}x_1 = h_{12}\sqrt{d_1}x_2$ , where the vectors  $e_1, e_2$  are standard basis vectors of  $\mathbb{C}^n$ . Thus,

$$\begin{aligned} \theta_k &\leq \max_{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)} \in \mathbb{C}^n} \min_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)}\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0, \sqrt{d_2}x_1 = h_{12}\sqrt{d_1}x_2}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) - 2|y_1|^2}{\sum_{i=1}^n d_i |y_i|^2 - 2|y_1|^2} \\ &= \max_{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)} \in \mathbb{C}^n} \min_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)}, \sqrt{d_2}e_1 - h_{12}\sqrt{d_1}e_2\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) - 2|y_1|^2}{\sum_{i=1}^n d_i |y_i|^2 - 2|y_1|^2} \\ &\leq \max_{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)} \in \mathbb{C}^n} \min_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k-1)}, \sqrt{d_2}e_1 - h_{12}\sqrt{d_1}e_2\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)}{\sum_{i=1}^n d_i |y_i|^2} \\ &\leq \max_{\mathbf{x}^{(1)}, \dots, \mathbf{x}^k \in \mathbb{C}^n} \min_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(k)}\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)}{\sum_{i=1}^n d_i |y_i|^2} = \lambda_{k+1}. \end{aligned}$$

Similarly, from Equation (3.2) we have

$$\begin{aligned} \theta_k &= \min_{\mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)} \in \mathbb{C}^n} \max_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)}\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) - (|y_1 + h_{12}y_2|^2 - |y_1|^2 - |y_2|^2)}{\sum_{i=1}^n d_i |y_i|^2 - |y_1|^2 - |y_2|^2} \\ &\geq \min_{\mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)} \in \mathbb{C}^n} \max_{\substack{\mathbf{x} \perp \{\mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)}\} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0, \sqrt{d_2}x_1 = -h_{12}\sqrt{d_1}x_2}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) - (|y_1 + h_{12}y_2|^2 - |y_1|^2 - |y_2|^2)}{\sum_{i=1}^n d_i |y_i|^2 - |y_1|^2 - |y_2|^2} \end{aligned}$$

$$\begin{aligned}
&= \min_{\mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)} \in \mathbb{C}^n} \max_{\substack{\mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0 \\ \mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)}, \sqrt{d_2}e_1 + h_{12}\sqrt{d_1}e_2}} \\
&\quad \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) + 2|y_1|^2}{\sum_{i=1}^n d_i |y_i|^2 - 2|y_1|^2}.
\end{aligned}$$

Note that  $|a+b|^2 \leq 2|a|^2 + 2|b|^2$  for two complex numbers  $a$  and  $b$ . Therefore, we have

$$\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) \leq \sum_{i < j, e_{ij} \in E(X_U)} (|y_i|^2 + |y_j|^2) = \sum_{i=1}^n d_i |y_i|^2. \quad (3.3)$$

Again,

$$\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) \geq \sum_{i < j, e_{ij} \in E(X_U)} (-|y_i|^2 - |y_j|^2) = -\sum_{i=1}^n d_i |y_i|^2. \quad (3.4)$$

Now, taking  $a = |y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2$ ,  $b = \sum_{i=1}^n d_i |y_i|^2$  and  $c = 2|y_1|^2$ , we find from Equations (3.3) and (3.4) that  $|\frac{a}{b}| \leq 1$ . Therefore Lemma 3.10 (ii) gives

$$\frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2) + 2|y_1|^2}{\sum_{i=1}^n d_i |y_i|^2 - 2|y_1|^2} \geq \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)}{\sum_{i=1}^n d_i |y_i|^2}.$$

Thus

$$\begin{aligned}
\theta_k &\geq \min_{\mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)} \in \mathbb{C}^n} \max_{\substack{\mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0 \\ \mathbf{x}^{(k+1)}, \dots, \mathbf{x}^{(n)}, \sqrt{d_2}e_1 + h_{12}\sqrt{d_1}e_2}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)}{\sum_{i=1}^n d_i |y_i|^2} \\
&\geq \min_{\mathbf{x}^{(k)}, \dots, \mathbf{x}^{(n)} \in \mathbb{C}^n} \max_{\substack{\mathbf{x}^{(k)}, \dots, \mathbf{x}^{(n)} \\ \mathbf{x} \in \mathbb{C}^n, \mathbf{x} \neq 0}} \frac{\sum_{i < j, e_{ij} \in E(X_U)} (|y_i + h_{ij}y_j|^2 - |y_i|^2 - |y_j|^2)}{\sum_{i=1}^n d_i |y_i|^2} \\
&= \lambda_{k-1}.
\end{aligned}$$

Thus,  $\lambda_{k-1} \leq \theta_k \leq \lambda_{k+1}$  with the convention that  $\lambda_0 = -1$  and  $\lambda_{n+1} = 1$ .  $\square$

Let  $S_H(X) := (s_{H_{ke}})$  be an  $n \times m$  matrix indexed by the vertices and edges of a mixed graph  $X$ , with  $|s_{H_{ke}}| = 1$  whenever  $k$  is incident to  $e$ , and

$$s_{H_{ke}} = \begin{cases} -s_{H_{\ell e}} & \text{if } e = e_{k\ell} \\ -\omega s_{H_{\ell e}} & \text{if } e = \overrightarrow{e_{k\ell}} \\ 0 & \text{otherwise.} \end{cases}$$

If  $D$  is the diagonal degree matrix of the underlying graph  $X_U$ ,  $D^{-1/2}S_H(X) := (s_{ke})$  and  $(D^{-1/2}S_H(X)) (D^{-1/2}S_H(X))^* = (\alpha_{k\ell})_{n \times n}$ ,

then

$$\alpha_{k\ell} = \sum_{e \in E(X)} s_{ke} \bar{s}_{\ell e} = \sum_{e \in E(X)} \frac{1}{\sqrt{d_k d_\ell}} s_{H_{ke}} \bar{s}_{H_{\ell e}}.$$

Thus  $\alpha_{kk} = \sum_{e \in E(X)} \frac{1}{\sqrt{d_k d_k}} s_{H_{ke}} \bar{s}_{H_{ke}} = \sum_{e \in E(X)} \frac{1}{d_k} |s_{H_{ke}}|^2 = \frac{1}{d_k} \cdot d_k = 1$ . Now assume that  $k \neq \ell$ .

(i) For  $e_{k\ell} \in E(X)$ ,

$$\alpha_{k\ell} = s_{ke} \bar{s}_{\ell e} = \frac{1}{\sqrt{d_k}} s_{H_{ke}} \frac{1}{\sqrt{d_\ell}} \bar{s}_{H_{\ell e}} = \frac{1}{\sqrt{d_k d_\ell}} (-s_{H_{\ell e}}) \bar{s}_{H_{\ell e}} = -\frac{1}{\sqrt{d_k d_\ell}} |s_{H_{\ell e}}|^2 = -\frac{1}{\sqrt{d_k d_\ell}}.$$

(ii) For  $\overrightarrow{e_{k\ell}} \in E(X)$ ,

$$\alpha_{k\ell} = s_{ke} \bar{s}_{\ell e} = \frac{1}{\sqrt{d_k}} s_{H_{ke}} \frac{1}{\sqrt{d_\ell}} \bar{s}_{H_{\ell e}} = \frac{1}{\sqrt{d_k d_\ell}} (-\omega s_{H_{\ell e}}) \bar{s}_{H_{\ell e}} = \frac{-\omega}{\sqrt{d_k d_\ell}} |s_{H_{\ell e}}|^2 = \frac{-\omega}{\sqrt{d_k d_\ell}}.$$

(iii) For  $\overrightarrow{e_{\ell k}} \in E(X)$ ,

$$\alpha_{k\ell} = s_{ke} \bar{s}_{\ell e} = \frac{1}{\sqrt{d_k}} s_{H_{ke}} \frac{1}{\sqrt{d_\ell}} \bar{s}_{H_{\ell e}} = \frac{1}{\sqrt{d_k d_\ell}} (s_{H_{ke}}) \bar{-\omega s_{H_{ke}}} = \frac{-\bar{\omega}}{\sqrt{d_k d_\ell}} |s_{H_{ke}}|^2 = \frac{-\bar{\omega}}{\sqrt{d_k d_\ell}}.$$

Thus,  $R^\omega(X) = I - (D^{-1/2} S_H(X)) (D^{-1/2} S_H(X))^*$ .

**Lemma 3.12** ([26]). *A mixed graph  $X$  is positive if and only if for any two vertices  $v_i$  and  $v_j$  all paths from  $v_i$  to  $v_j$  have the same value.*

**Theorem 3.13.** *Let  $X$  be a connected mixed graph. If 1 is an eigenvalue of  $R^\omega(X)$ , then  $X$  is positive and 1 is a simple eigenvalue of  $R^\omega(X)$ .*

*Proof.* Assume that 1 is an eigenvalue of  $R^\omega(X)$  with corresponding eigenvector  $\mathbf{x}$ . If  $\mathbf{x} = (x_1, \dots, x_n)^t$ , we have

$$\begin{aligned} R^\omega(X)\mathbf{x} &= \mathbf{x} \\ \text{or, } (I - R^\omega(X))\mathbf{x} &= 0 \\ \text{or, } (D^{-1/2} S_H(X)(D^{-1/2} S_H(X))^*)\mathbf{x} &= 0 \\ \text{or, } \langle D^{-1/2} S_H(X)(D^{-1/2} S_H(X))^* \mathbf{x}, \mathbf{x} \rangle &= 0 \\ \text{or, } \langle (D^{-1/2} S_H(X))^* \mathbf{x}, (D^{-1/2} S_H(X))^* \mathbf{x} \rangle &= 0 \\ \text{or, } (D^{-1/2} S_H(X))^* \mathbf{x} &= 0. \end{aligned}$$

Thus if  $e$  is an edge of  $X$  with end vertices  $v_i$  and  $v_j$ , we have

$$((D^{-1/2} S_H(X))^* \mathbf{x})_e = 0,$$

and this gives  $\bar{s}_{H_{ie}} d_i^{-1/2} x_i + \bar{s}_{H_{je}} d_j^{-1/2} x_j = 0$ .

Note that  $s_{H_{ie}} \bar{s}_{H_{je}} = -h_{ij}$ , and so  $x_i = \sqrt{\frac{d_i}{d_j}} h_{ij} x_j$  for any edge incident to  $v_i$  and  $v_j$ . Let  $W_{1k} := u_1 u_2 \dots u_k$  be any  $u_1 u_k$ -path such that  $u_1 = v_1$  and

$u_k = v_j$ . Also, let  $W_{1r}$  be the  $u_1u_r$ -section of the path  $W_{1k}$ , where  $2 \leq r \leq k$ . For  $W_{1r} = u_1u_2 \dots u_{r-1}u_r$ , let  $h(W_{1r}) = h_{12} \dots h_{(r-1)r}$ , the value of  $W_{1r}$ .

We have  $x_1 = \sqrt{\frac{d_1}{d_2}}h_{12}x_2 = \sqrt{\frac{d_1}{d_3}}h_{12}h_{23}x_3 = \dots = \sqrt{\frac{d_1}{d_i}}h(W_{1i})x_i$ . This implies that each  $v_iv_j$ -path has the same value. Hence by Lemma 3.12,  $X$  is positive.

Moreover,  $\mathbf{x} = (x_1, \dots, x_i)^t = (x_1, \sqrt{\frac{d_2}{d_1}}\overline{h(W_{12})}x_1, \dots, \sqrt{\frac{d_i}{d_1}}\overline{h(W_{1i})}x_1)^t$ , so

$$\mathbf{x} = x_1 \left( 1, \sqrt{\frac{d_2}{d_1}}\overline{h(W_{12})}, \dots, \sqrt{\frac{d_i}{d_1}}\overline{h(W_{1i})} \right)^t.$$

Hence 1 is an eigenvalue of  $R^\omega(X)$  with multiplicity 1.  $\square$

Yu et al. [24], in their study of Hermitian normalized Laplacian matrix for mixed networks, established that a graph is bipartite if and only if all of its eigenvalues are symmetric about 1. The symmetric characteristics of the  $R^\omega(X)$  eigenvalues can also be determined in a similar manner.

**Theorem 3.14.** *If  $X$  is a connected mixed graph, then  $X$  is bipartite if and only if all eigenvalues of  $R^\omega(X)$  are symmetric about 0.*

*Proof.* Because of  $R^\omega(X) = I - \mathfrak{L}^\omega(X)$ , the proof is analogous to the proof of Theorem 3.5 in [24].  $\square$

**Theorem 3.15** ([24]). *If  $X$  is a connected mixed graph, then 2 is an eigenvalue of  $\mathfrak{L}^\omega(X)$  if and only if  $X$  is a positive bipartite graph.*

Noting that  $R^\omega(X) = I - \mathfrak{L}^\omega(X)$ , we get the following corollary from Theorem 3.15.

**Corollary 3.16.** *If  $X$  is a connected mixed graph, then  $-1$  is an eigenvalue of  $R^\omega(X)$  if and only if  $X$  is a positive bipartite graph.*

Note that if  $X$  is a bipartite mixed graph, then the spectrum of  $R^\omega(X)$  is symmetric about 0. As a result, if  $X$  is a bipartite mixed connected graph, then 1 is an eigenvalue of  $R^\omega(X)$  if and only if  $X$  is a positive.

#### 4. DETERMINANT AND CHARACTERISTIC POLYNOMIAL OF HERMITIAN RANDIĆ MATRIX OF SECOND KIND

In this section, we provide some results similar to Theorem 2.7 in [15] and Proposition 7.3 in [4] for Hermitian Randić matrix of second kind. Lu et al. in [16] defined the Hermitian-Randić matrix  $R_H(X)$  of a mixed graph  $X$ . For this Hermitian matrix, they obtained the determinant and characteristic polynomial. In [16],  $\det R_H(X)$  is expressed as a summation, in which the

summation is taken over a specific class of elementary spanning sub-graphs of  $X$ . In the next theorem, we find an analogous expression for  $\det R^\omega(X)$ , in which the summation is taken over all spanning elementary sub-graphs of  $X$ .

Let  $X'$  be an elementary sub-graph of a mixed graph  $X$  on  $n$  vertices. Let  $c(X')$  be the number of components of  $X'$ , and  $r(X') = n - c(X')$ . Further, let  $s(X')$  be the number of cycles of length at least 3 in  $X'$ . For a sub-graph  $Y$  of  $X$ , let  $Q(Y) = \prod_{v_i \in V(Y)} \frac{1}{d_i}$ .

Recall that a cycle is called positive or negative according as its value is positive or negative, respectively. A cycle  $C$  is called *semi-positive* if its value is either  $\omega Q(C)$  or  $\bar{\omega} Q(C)$ . Similarly, it is called *semi-negative* if its value is either  $-\omega Q(C)$  or  $-\bar{\omega} Q(C)$ . Let  $l_p(X')$ ,  $l_n(X')$ ,  $l_{sp}(X')$  and  $l_{sn}(X')$  be the number of positive, negative, semi-positive and semi-negative cycles in  $X'$ , respectively.

**Theorem 4.1.** *Let  $R^\omega(X)$  be the Hermitian Randić matrix of second kind of a mixed graph  $X$  of order  $n$ . Then*

$$\det(R^\omega(X)) = \sum_{X'} (-1)^{r(X') + l_n(X') + l_{sn}(X')} 2^{l_n(X') + l_p(X')} Q(X'),$$

where the summation is over all spanning elementary sub-graphs  $X'$  of  $X$ .

*Proof.* Let  $X$  be a mixed graph of order  $n$ . We have

$$\det(R^\omega(X)) = \sum_{\pi \in S_n} \operatorname{sgn}(\pi) R_{1\pi(1)}^\omega R_{2\pi(2)}^\omega \cdots R_{n\pi(n)}^\omega,$$

where  $S_n$  is the set of all permutations on  $\{1, \dots, n\}$ .

Consider a term  $\operatorname{sgn}(\pi) R_{1\pi(1)}^\omega \cdots R_{n\pi(n)}^\omega$  in the expansion of  $\det(R^\omega(X))$ . If  $v_k v_{\pi(k)}$  is not an edge of  $X$ , then  $R_{k\pi(k)}^\omega = 0$ , hence the term vanishes. Thus, if the term corresponding to a permutation is non-zero, then it is fixed-point-free and can be expressed uniquely as the composition of disjoint cycles of length at least 2. Consequently, each non-vanishing term in the expansion of  $\det(R^\omega(X))$  gives rise to a spanning elementary sub-graph  $X'$  of  $X$ . Note that a spanning elementary sub-graph may correspond to several non-vanishing terms in the expansion of  $\det(R^\omega(X))$ .

Let  $X'$  be a spanning elementary sub-graph of  $X$  that corresponds to a non-vanishing term in the expansion of  $\det(R^\omega(X))$ . Let  $\pi(X')$  be the set of all permutations that correspond to  $X'$ . Clearly,  $|\pi(X')| = 2^{s(X')}$ , and

$\text{sgn}(\pi) = (-1)^{r(X')}$  for  $\pi \in \pi(X')$ . Thus

$$\det R^\omega(X) = \sum_{X'} (-1)^{r(X')} \sum_{\pi \in \pi(X')} R_{1\pi(1)}^\omega R_{2\pi(2)}^\omega \cdots R_{n\pi(n)}^\omega.$$

Note that, for each edge component with vertices  $v_k$  and  $v_\ell$ , the corresponding factor  $R_{k\ell}^\omega R_{\ell k}^\omega$  has the value  $\frac{1}{\sqrt{d_k d_\ell}} \cdot \frac{1}{\sqrt{d_\ell d_k}} = \frac{1}{d_k d_\ell}$  or  $\frac{\omega}{\sqrt{d_k d_\ell}} \cdot \frac{\bar{\omega}}{\sqrt{d_\ell d_k}} = \frac{1}{d_k d_\ell}$ . Furthermore, if for one direction the value of a mixed cycle is  $\alpha$ , then for the reversed direction its value is  $\bar{\alpha}$ , the conjugate of  $\alpha$ . Thus, in the summation of  $\det(R^\omega(X))$ , we have two cases for the cycles having complex values. For a semi-positive cycle, say  $C_1$ , we have

$$\omega \prod_{v_j \in V(C_1)} \frac{1}{d_j} + \bar{\omega} \prod_{j \in V(C_1)} \frac{1}{d_j} = (\omega + \bar{\omega}) \prod_{v_j \in V(C_1)} \frac{1}{d_j} = \prod_{v_j \in V(C_1)} \frac{1}{d_j},$$

and for a semi-negative cycle, say  $C_2$ , we have

$$-\omega \prod_{v_j \in V(C_2)} \frac{1}{d_j} - \bar{\omega} \prod_{j \in V(C_2)} \frac{1}{d_j} = -(\omega + \bar{\omega}) \prod_{v_j \in V(C_2)} \frac{1}{d_j} = -\prod_{v_j \in V(C_2)} \frac{1}{d_j}.$$

In addition, if a cycle  $C$  has the real values  $\prod_{v_j \in V(C)} \frac{1}{d_j}$  or  $-\prod_{v_j \in V(C)} \frac{1}{d_j}$  for some direction, then it has the same value for the other direction of  $C$  as well.

Let  $X'_e$  be the sub-graph of  $X'$  consisting of the edge components of  $X'$ . Recall that  $Q(X'_e) = \prod_{v_i \in V(X'_e)} \frac{1}{d_i}$ . As a convention, assume that  $Q(X'_e) = 1$  if  $X'_e = \phi$ . Let  $C_1, C_2, \dots, C_{s(X')}$  be the cycles of  $X'$  of a length at least 3, and for  $i \in \{1, \dots, s(X')\}$  and  $\alpha \in \{1, 2\}$ , define

$$W_i^\alpha = \begin{cases} \frac{W(C_i)}{W(C_i)} & \text{if } \alpha = 1 \\ \frac{W(C_i)}{W(C_i)} & \text{if } \alpha = 2. \end{cases}$$

Thus

$$\det R^\omega(X) = \sum_{X'} (-1)^{r(X')} \sum_{\alpha \in \{1, 2\}} Q(X'_e) W_1^\alpha W_2^\alpha \cdots W_{s(X')}^\alpha.$$

Observe that for  $1 \leq k \leq s(X')$ , we have

$$\begin{aligned} & W_1^\alpha \cdots W_{k-1}^\alpha W_k^\alpha W_{k+1}^\alpha \cdots W_{s(X')}^\alpha + W_1^\alpha \cdots W_{k-1}^\alpha W_k^2 W_{k+1}^\alpha \cdots W_{s(X')}^\alpha \\ &= \begin{cases} (-1)^{\beta_k} W_1^\alpha \cdots W_{k-1}^\alpha Q(C_k) W_{k+1}^\alpha \cdots W_{s(X')}^\alpha & \text{if } W(C_k) \text{ is not real} \\ (-1)^{\beta_k} 2 W_1^\alpha \cdots W_{k-1}^\alpha Q(C_k) W_{k+1}^\alpha \cdots W_{s(X')}^\alpha & \text{if } W(C_k) \text{ is real} \end{cases} \end{aligned}$$

where

$$\beta_k = \begin{cases} 1 & \text{if } C_k \text{ is negative or semi-negative cycle} \\ 0 & \text{otherwise.} \end{cases}$$

Thus

$$\begin{aligned}
& \sum_{\alpha \in \{1,2\}} Q(X'_e) W_1^\alpha W_2^\alpha \dots W_{s(X')}^\alpha \\
&= (-1)^{l_n(X') + l_{sn}(X')} 2^{s(X') - l_{sn}(X') - l_{sp}(X')} Q(X'_e) Q(C_1) \dots Q(C_{s(X')}) \\
&= (-1)^{l_n(X') + l_{sn}(X')} 2^{l_n(X') + l_p(X')} Q(X'). \quad \square
\end{aligned}$$

Let  $P_{R^\omega}(X, x) := \det(xI - R^\omega(X))$  be the characteristic polynomial of the matrix  $R^\omega(X)$  of a mixed graph  $X$ . Now we compute an expression for the coefficients of  $P_{R^\omega}(X, x)$ .

**Theorem 4.2.** *If  $P_{R^\omega}(X, x) := x^n + a_1 x^{n-1} + \dots + a_n$ , then*

$$(-1)^k a_k = \sum_{X'} (-1)^{r(X') + l_n(X') + l_{sn}(X')} 2^{l_n(X') + l_p(X')} Q(X'),$$

where the summation is over all elementary sub-graphs  $X'$  with order  $k$  of  $X$ .

*Proof.* The proof is based on Theorem 4.1, and makes use of the fact that the summation of the determinants of all principal  $k \times k$  sub-matrices of  $R^\omega(X)$  is  $(-1)^k a_k$ .  $\square$

In the next corollary, we look at how the coefficients of  $P_{R^\omega}(X, x)$  change their shape for different graph structures.

**Corollary 4.3.** *Let  $P_{R^\omega}(X, x) := x^n + a_1 x^{n-1} + \dots + a_n$ .*

(i) *If  $X$  is a tree, then  $(-1)^k a_k = \sum_{X'} (-1)^{r(X')} Q(X')$ , where the summation is over all elementary sub-graphs  $X'$  with order  $k$  of  $X$ .*

(ii) *If the underlying graph  $X_U$  of  $X$  is  $\delta$ -regular ( $\delta \neq 0$ ), then*

$$(-1)^k a_k = \sum_{X'} (-1)^{r(X') + l_n(X') + l_{sn}(X')} 2^{l_n(X') + l_p(X')} \frac{1}{\delta^k},$$

where the summation is over all elementary sub-graphs  $X'$  with order  $k$  of  $X$ .

The proof of Corollary 4.3 is straightforward due to the absence of cycles in a tree and the fact that every vertex in a  $\delta$ -regular graph has degree  $\delta$ .

In 1999, Bollobás et al. [5] defined the general Randić index  $R^{(\alpha)}(X)$  of an un-oriented graph  $X$  as

$$R^{(\alpha)}(X) = \sum_{u \sim v} (d_u d_v)^\alpha.$$

Now we find a bound for eigenvalues of  $R^\omega(X)$  in terms of  $R^{(-1)}(X_U)$ .

**Theorem 4.4.** *If  $\lambda_1$  is the smallest eigenvalue of  $R^\omega(X)$ , then*

$$\lambda_1^2 \geq \frac{2R^{(-1)}(X_U)}{n(n-1)}.$$

*Proof.* Let the eigenvalues  $\lambda_1, \dots, \lambda_n$  of  $R^\omega(X)$  satisfy

$$\lambda_1 \leq \dots \leq \lambda_k \leq \lambda_{k+1} \leq \dots \leq \lambda_n.$$

We have

$$\begin{aligned} \sum_{i=1}^n \lambda_i^2 &= \text{trace}(R^\omega(X)^2) = \sum_{i=1}^n \sum_{j=1}^n R_{ij}^\omega R_{ji}^\omega \\ &= \sum_{i=1}^n \sum_{j=1}^n R_{ij}^\omega \overline{R}_{ij}^\omega \\ &= \sum_{i=1}^n \sum_{j=1}^n |R_{ij}^\omega|^2 \\ &= 2 \sum_{i \sim j} \frac{1}{d_i d_j} = 2R^{(-1)}(X_U). \end{aligned}$$

Also,

$$\begin{aligned} \sum_{i=1}^n (\lambda_i - \lambda_1) &= \sum_{i=1}^n \lambda_i - n\lambda_1 = -n\lambda_1 \\ \text{or, } \sum_{i=1}^n (\lambda_i - \lambda_1)^2 &+ \sum_{p,q=1, p \neq q}^n (\lambda_p - \lambda_1)(\lambda_q - \lambda_1) = (n\lambda_1)^2. \end{aligned}$$

Since  $\sum_{p \neq q} (\lambda_p - \lambda_1)(\lambda_q - \lambda_1)$  is non-negative, we have

$$\begin{aligned} \sum_{i=1}^n (\lambda_i - \lambda_1)^2 &\leq n^2 \lambda_1^2 \\ \text{or, } \sum_{i=1}^n \lambda_i^2 &+ n\lambda_1^2 \leq n^2 \lambda_1^2 \\ \text{or, } 2R^{(-1)}(X_U) &+ n\lambda_1^2 \leq n^2 \lambda_1^2 \\ \text{or, } \lambda_1^2 &\geq \frac{2R^{(-1)}(X_U)}{n(n-1)}. \end{aligned}$$

□

For an  $n \times n$  matrix  $A := (a_{ij})$ , define

$$\gamma_1(A) = \min \left\{ \frac{1}{n} \sum_{i=1}^n \sum_{j=1}^n a_{ij}, \frac{1}{n} \sum_{i=1}^n a_{ii} - \frac{1}{n(n-1)} \sum_{i \neq j} a_{ij} \right\}$$

and

$$\gamma_2(A) = \max \left\{ \frac{1}{n} \sum_{i=1}^n \sum_{j=1}^n a_{ij}, \frac{1}{n} \sum_{i=1}^n a_{ii} - \frac{1}{n(n-1)} \sum_{i \neq j} a_{ij} \right\}.$$

**Lemma 4.5** ([17]). *Let  $A := (a_{ij})$  be an  $n \times n$  Hermitian matrix. Let  $\lambda_1$  and  $\lambda_n$  be the smallest and largest eigenvalues of  $A$ , respectively. Then  $\lambda_1 \leq \gamma_1(A) \leq \gamma_2(A) \leq \lambda_n$ .*

**Theorem 4.6.** *Let  $X$  be a mixed graph, and let  $\lambda_1$  and  $\lambda_n$  be the smallest and the largest eigenvalues of  $R^\omega(X)$ , respectively. Then*

$$\lambda_1 \leq -\frac{1}{n(n-1)} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right) \leq \frac{1}{n} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right) \leq \lambda_n.$$

*Proof.* We have

$$\sum_{i \neq j} R_{ij}^\omega = \sum_{i=1}^n \sum_{j=1}^n R_{ij}^\omega = \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \left( \frac{\omega}{\sqrt{d_i d_j}} + \frac{\bar{\omega}}{\sqrt{d_i d_j}} \right) = \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}}.$$

$$\text{Also, } \sum_{i=1}^n R_{ii}^\omega = \text{trace}(R^\omega) = 0.$$

Hence by Lemma 4.5, we have  $\lambda_1 \leq \gamma_1 \leq \gamma_2 \leq \lambda_n$ , where

$$\begin{aligned} \gamma_1 &= \min \left\{ \frac{1}{n} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right), 0 - \frac{1}{n(n-1)} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right) \right\} \\ &= -\frac{1}{n(n-1)} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right), \end{aligned}$$

and

$$\begin{aligned} \gamma_2 &= \max \left\{ \frac{1}{n} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right), 0 - \frac{1}{n(n-1)} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right) \right\} \\ &= \frac{1}{n} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right). \end{aligned}$$

Hence

$$\lambda_1 \leq \frac{-1}{n(n-1)} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right) \leq \frac{1}{n} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right) \leq \lambda_n. \quad \square$$

The following corollary follows easily from Theorem 4.6.

**Corollary 4.7.** *Let  $X$  be a mixed graph. If  $\lambda_1$  and  $\lambda_n$  are the smallest and the largest eigenvalues of  $R^\omega(X)$ , then*

$$\lambda_n - \lambda_1 \geq \frac{1}{n-1} \left( \sum_{i \sim j} \frac{2}{\sqrt{d_i d_j}} + \sum_{i \rightarrow j} \frac{1}{\sqrt{d_i d_j}} \right).$$

## 5. ENERGY OF HERMITIAN RANDIĆ MATRIX OF SECOND KIND

Lu et al. in [16] investigated the energy for Hermitian Randić matrix  $R_H(X)$  and computed various bounds. We analogously define the energy  $\varepsilon(R^\omega(X))$  of  $R^\omega(X)$ . That is,  $\varepsilon(R^\omega(X))$  is the sum of the absolute values of the eigenvalues of  $R^\omega(X)$ . We find that most of the results on energy of  $R_H(X)$  also hold good for the matrix  $R^\omega(X)$  due to the fact that  $\text{trace}(R^\omega(X)) = \text{trace}(R_H(X)) = 0$  and  $\sum_{i=1}^n \lambda_i^2 = 2R^{(-1)}(X_U)$ .

**Theorem 5.1.** *Let  $X$  be a mixed graph of order  $n$ , and  $\lambda_1, \dots, \lambda_n$  be the eigenvalues of  $R^\omega(X)$ . Then*

$$\sqrt{2R^{(-1)}(X_U) + n(n-1)(\det R^\omega(X))^{2/n}} \leq \varepsilon(R^\omega(X)) \leq \sqrt{2nR^{(-1)}(X_U)},$$

where equality holds if  $|\lambda_1| = \dots = |\lambda_n|$ .

*Proof.* The proof is similar to the proof of Theorem 3.5 in [16], that can be obtained using the Cauchy-Schwartz inequality and geometric-arithmetic inequality.  $\square$

**Theorem 5.2.** *Let  $X$  be a mixed graph, and  $\lambda_1, \dots, \lambda_n$  be the eigenvalues of  $R^\omega(X)$ , where  $\lambda_1 \leq \dots \leq \lambda_n$ , and  $k$  is the number of negative eigenvalues. Then*

$$\varepsilon(R^\omega(X)) \geq 2(n-k) \left( \frac{\det(R^\omega(X))}{\prod_{i=1}^k \lambda_i} \right)^{\frac{1}{n-k}},$$

where equality holds if all positive eigenvalues are equal.

*Proof.* Given that the eigenvalues  $\lambda_1, \dots, \lambda_n$  of  $R^\omega(X)$  satisfy

$$\lambda_1 \leq \dots \leq \lambda_k \leq \lambda_{k+1} \leq \dots \leq \lambda_n.$$

Also,  $\lambda_1, \dots, \lambda_k$  are negative and  $\lambda_{k+1}, \dots, \lambda_n$  are positive. As  $\text{trace}(R^\omega(X)) = 0$ , we have  $\varepsilon(R^\omega(X)) = \sum_{i=1}^n |\lambda_i| = 2 \sum_{i=k+1}^n |\lambda_i| = 2 \sum_{i=1}^k |\lambda_i|$ .

Now

$$\begin{aligned} |\lambda_1| + |\lambda_2| + \dots + |\lambda_k| &= |\lambda_1 + \lambda_2 + \dots + \lambda_k| \\ &= \lambda_{k+1} + \dots + \lambda_n \end{aligned}$$

$$\begin{aligned} &\geq (n-k) \left( \prod_{i=k+1}^n \lambda_i \right)^{\frac{1}{n-k}} \\ &= (n-k) \left( \frac{\det(R^\omega(X))}{\prod_{i=1}^k \lambda_i} \right)^{\frac{1}{n-k}}. \end{aligned}$$

Hence

$$\varepsilon(R^\omega(X)) = 2 \sum_{i=1}^k |\lambda_i| \geq 2(n-k) \left( \frac{\det(R^\omega(X))}{\prod_{i=1}^k \lambda_i} \right)^{\frac{1}{n-k}}.$$

Equality is obtained directly from the equality condition of geometric-arithmetic inequality.  $\square$

**Lemma 5.3.** *If  $x_1, x_2, \dots, x_n$  are non-negative and  $k \geq 2$ , then  $\sum_{i=1}^n x_i^k \leq \left( \sum_{i=1}^n x_i^2 \right)^{k/2}$ .*

Lemma 5.3 can be easily proved using the principle of mathematical induction and Cauchy-Schwartz inequality.

**Theorem 5.4.** *Let  $X$  be a mixed graph. Then  $\varepsilon(R^\omega(X)) < e^{\sqrt{2R^{(-1)}(X_U)}}$ .*

*Proof.* Let the eigenvalues of  $R^\omega(X)$  be  $\lambda_1, \dots, \lambda_n$ . We have

$$\sum_{i=1}^n \lambda_i^2 = 2R^{(-1)}(X_U).$$

Now

$$\begin{aligned} \varepsilon(R^\omega(X)) &= \sum_{i=1}^n |\lambda_i| < \sum_{i=1}^n e^{|\lambda_i|} \\ &= \sum_{i=1}^n \sum_{k \geq 0} \frac{|\lambda_i|^k}{k!} \\ &\leq \sum_{k \geq 0} \frac{1}{k!} \left( \sum_{i=1}^n |\lambda_i|^2 \right)^{k/2}, \quad \text{using Lemma 5.3} \\ &\leq \sum_{k \geq 0} \frac{1}{k!} (2R^{(-1)}(X_U))^{k/2} \\ &= \sum_{k \geq 0} \frac{1}{k!} \left( \sqrt{2R^{(-1)}(X_U)} \right)^k = e^{\sqrt{2R^{(-1)}(X_U)}}. \end{aligned} \quad \square$$

**Theorem 5.5.** *Let  $\lambda_1, \dots, \lambda_n$  be the eigenvalues of  $R^\omega(X)$  and  $\rho = \max_i |\lambda_i|$ . Then*

$$\varepsilon(R^\omega(X)) \leq \frac{1}{2} \left( \rho(n-2) + \sqrt{\rho^2(n-2)^2 + 16R^{(-1)}(X_U)} \right),$$

where equality holds if  $|\lambda_1| = \dots = |\lambda_n|$ .

*Proof.* Suppose  $\lambda_k$  is the largest negative eigenvalue of  $R^\omega(X)$ . Then  $\lambda_1 \leq \dots \leq \lambda_k$  and  $\lambda_{k+1} \leq \dots \leq \lambda_n$ . Now

$$\begin{aligned} \varepsilon(R^\omega(X))^2 &= \left( \sum_{i=1}^k |\lambda_i| + \sum_{j=k+1}^n |\lambda_j| \right)^2 \\ &= 2 \left( \left( \sum_{i=1}^k |\lambda_i| \right)^2 + \left( \sum_{j=k+1}^n |\lambda_j| \right)^2 \right), \text{ as } \sum_{i=1}^k |\lambda_i| = \sum_{j=k+1}^n |\lambda_j| \\ &= 2 \left( \sum_{i=1}^k |\lambda_i|^2 + \sum_{j=k+1}^n |\lambda_j|^2 + 2 \sum_{1 \leq i < p \leq k} |\lambda_i||\lambda_p| + 2 \sum_{(k+1) \leq j < q \leq n} |\lambda_j||\lambda_q| \right) \\ &= 2 \sum_{i=1}^n |\lambda_i|^2 + 4 \left[ \sum_{1 \leq i < p \leq k} |\lambda_i||\lambda_p| + \sum_{(k+1) \leq j < q \leq n} |\lambda_j||\lambda_q| \right]. \end{aligned} \quad (5.1)$$

We have  $(|\lambda_i| - \rho/2)(|\lambda_p| - \rho/2) \leq \frac{\rho^2}{4}$ , which implies that

$$|\lambda_i||\lambda_p| \leq \frac{\rho}{2}(|\lambda_i| + |\lambda_p|).$$

Similarly,  $|\lambda_j||\lambda_q| \leq \frac{\rho}{2}(|\lambda_j| + |\lambda_q|)$ . Note that for both the cases equality can be obtained when all  $|\lambda_i|$  are equal.

Hence from Equation (5.1), we have

$$\begin{aligned} \varepsilon(R^\omega(X))^2 &\leq 4R^{(-1)}(X_U) + 4 \cdot \frac{\rho}{2} \left( \sum_{1 \leq i < p \leq k} (|\lambda_i| + |\lambda_p|) + \sum_{(k+1) \leq j < q \leq n} (|\lambda_j| + |\lambda_q|) \right) \\ &= 4R^{(-1)}(X_U) + 2\rho \left( (k-1) \sum_{i=1}^k |\lambda_i| + (n-k-1) \sum_{j=k+1}^n |\lambda_j| \right) \\ &= 4R^{(-1)}(X_U) + 2\rho \left( (k-1) \frac{\varepsilon(R^\omega(X))}{2} + (n-k-1) \frac{\varepsilon(R^\omega(X))}{2} \right) \\ &= 4R^{(-1)}(X_U) + \rho(n-2)\varepsilon(R^\omega(X)). \end{aligned}$$

After solving the preceding inequality, we get

$$\varepsilon(R^\omega(X)) \leq \frac{1}{2} \left( \rho(n-2) + \sqrt{\rho^2(n-2)^2 + 16R^{(-1)}(X_U)} \right). \quad \square$$

**Theorem 5.6.** *Let the eigenvalues of  $R^\omega(X)$  be  $\lambda_1, \dots, \lambda_n$  and  $\sigma = \min_i |\lambda_i|$ . Then*

$$\varepsilon(R^\omega(X)) \geq \frac{1}{2} \left( \sigma(n-2) + \sqrt{\sigma^2(n-2)^2 + 16R^{(-1)}(X_U)} \right),$$

where equality holds if  $|\lambda_1| = \dots = |\lambda_n|$ .

*Proof.* Considering  $\sigma = \min_i |\lambda_i|$ , we have  $(|\lambda_i| - \sigma/2)(|\lambda_p| - \sigma/2) \geq \frac{\sigma^2}{4}$ . It implies that  $|\lambda_i||\lambda_p| \geq \frac{\sigma}{2}(|\lambda_i| + |\lambda_p|)$ . Similarly,  $|\lambda_j||\lambda_q| \geq \frac{\sigma}{2}(|\lambda_j| + |\lambda_q|)$ . Equality holds when all  $|\lambda_i|$  are equal. Now from Equation (5.1), we get the quadratic inequality  $\varepsilon(R^\omega(X))^2 \geq 4R^{(-1)}(X_U) + \sigma(n-2)\varepsilon(R^\omega(X))$ . Solving this quadratic inequality, we get the required result.  $\square$

**Lemma 5.7** (Pólya-Szegö Inequality [3]). *If  $a_i$  and  $b_i$  are positive real numbers for each  $i \in \{1, \dots, n\}$ , with  $M_1 = \max_{1 \leq i \leq n} a_i$ ,  $M_2 = \max_{1 \leq i \leq n} b_i$ ,  $m_1 = \min_{1 \leq i \leq n} a_i$  and  $m_2 = \min_{1 \leq i \leq n} b_i$ . Then*

$$\sum_{i=1}^n a_i^2 \sum_{i=1}^n b_i^2 \leq \frac{1}{4} \left( \sqrt{\frac{M_1 M_2}{m_1 m_2}} + \sqrt{\frac{m_1 m_2}{M_1 M_2}} \right)^2 \left( \sum_{i=1}^n a_i b_i \right)^2,$$

where equality hold if and only if  $p = n \frac{\frac{M_1}{m_1}}{\frac{M_1}{m_1} + \frac{M_2}{m_2}}$  and  $q = n \frac{\frac{M_2}{m_2}}{\frac{M_1}{m_1} + \frac{M_2}{m_2}}$  are integers and if  $p$  of the numbers  $a_i$ ,  $i \in \{1, \dots, n\}$  are equal to  $m_1$  and  $q$  of these numbers are equal to  $M_1$ , and if the corresponding numbers  $b_i$  are equal to  $M_2$  and  $m_2$  respectively.

**Theorem 5.8.** *Let  $\lambda_1, \dots, \lambda_n$  be the eigenvalues of  $R^\omega(X)$ ,  $\rho = \max_i |\lambda_i|$  and  $\sigma = \min_i |\lambda_i|$ . Then*

$$\varepsilon(R^\omega(X)) \geq \frac{\sqrt{8n\rho\sigma R^{(-1)}(X_U)}}{\rho + \sigma}.$$

For equality  $\frac{n\sigma}{\rho+\sigma}$  and  $\frac{n\rho}{\rho+\sigma}$  have to be integers, and the graph can have at most four distinct eigenvalues with absolute values  $\rho$  and  $\sigma$ , and number of such eigenvalues having absolute values  $\sigma$  and  $\rho$  are  $\frac{n\rho}{\rho+\sigma}$  and  $\frac{n\sigma}{\rho+\sigma}$  respectively.

*Proof.* Considering  $\rho = \max_i |\lambda_i|$  and  $\sigma = \min_i |\lambda_i|$ , by Pólya-Szegö Inequality we have

$$\sum_{i=1}^n |\lambda_i|^2 \sum_{i=1}^n 1^2 \leq \frac{1}{4} \left( \sqrt{\frac{\rho}{\sigma}} + \sqrt{\frac{\sigma}{\rho}} \right)^2 \left( \sum_{i=1}^n |\lambda_i| \right)^2$$

$$\text{or, } 2nR^{(-1)}(X_U) \leq \frac{1}{4} \left( \frac{\rho + \sigma}{\sqrt{\sigma\rho}} \right)^2 (\varepsilon(R^\omega(X)))^2$$

$$\text{or, } \varepsilon(R^\omega(X)) \geq \frac{\sqrt{8n\rho\sigma R^{(-1)}(X_U)}}{\rho + \sigma}.$$

For equality, from Lemma 5.7 clearly,  $\frac{n\sigma}{\rho+\sigma}$  and  $\frac{n\rho}{\rho+\sigma}$  have to be integers. Also there can be at most four distinct eigenvalues having absolute values  $\rho$  and  $\sigma$ . It is also to be noted that number of such eigenvalues having absolute values  $\sigma$  and  $\rho$  are  $\frac{n\rho}{\rho+\sigma}$  and  $\frac{n\sigma}{\rho+\sigma}$  respectively.  $\square$

In 1968, N. Ozeki [21] provided an inequality for positive real numbers. However the result was prone to some errors which was revised in [11].

**Lemma 5.9** (Ozeki's Inequality [11]). *If  $a_i$  and  $b_i$  are non-negative real numbers for each  $i \in \{1, \dots, n\}$ , then*

$$\sum_{i=1}^n a_i^2 \sum_{i=1}^n b_i^2 - \left( \sum_{i=1}^n a_i b_i \right)^2 \leq \frac{n^2}{3} (M_1 M_2 - m_1 m_2)^2,$$

where  $M_1 = \max_{1 \leq i \leq n} a_i$ ,  $M_2 = \max_{1 \leq i \leq n} b_i$ ,  $m_1 = \min_{1 \leq i \leq n} a_i$  and  $m_2 = \min_{1 \leq i \leq n} b_i$ .

**Theorem 5.10.** *Let  $\lambda_1, \dots, \lambda_n$  be the eigenvalues of  $R^\omega(X)$ ,  $\rho = \max_i |\lambda_i|$  and  $\sigma = \min_i |\lambda_i|$ . Then*

$$\varepsilon(R^\omega(X)) \geq \sqrt{\frac{6nR^{(-1)}(X_U) - n^2(\rho - \sigma)^2}{3}}.$$

*Proof.* Considering  $\rho = \max_i |\lambda_i|$  and  $\sigma = \min_i |\lambda_i|$ , by Ozeki's Inequality we have

$$\sum_{i=1}^n |\lambda_i|^2 \sum_{i=1}^n 1^2 - \left( \sum_{i=1}^n |\lambda_i| \right)^2 \leq \frac{n^2}{3} (\rho - \sigma)^2$$

$$\text{or, } \sqrt{\frac{6nR^{(-1)}(X_U) - n^2(\rho - \sigma)^2}{3}} \leq \varepsilon(R^\omega(X)). \quad \square$$

The graph  $K_2$  exemplifies the equality conditions in Theorem 5.1 and Theorems 5.5-5.8. Additionally, we note that the complete graph  $K_n$  illustrates the equality criterion outlined in Theorem 5.8.

## FUNDING

The first two authors thank the Science and Engineering Research Board (SERB), Government of India for supporting major part of this work under the Teachers Associateship for Research Excellence (TARE) project [file number TAR/2021/000045].

## Acknowledgments

The authors are thankful to the reviewers for their constructive suggestions and comments. Their comments were very helpful to improve the manuscript.

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ON SPECTRA OF HERMITIAN RANDIC MATRIX OF SECOND KIND

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بررسی طیف‌های ماتریس راندیچ هرمیتی نوع دوم

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فرض کنید  $X$  یک گراف مختلط و  $\frac{1+i\sqrt{3}}{2} = \omega$  باشد. هنگامی که بین رئوس  $v_i$  و  $v_j$  یک یال جهت دار از  $i$  به  $j$  وجود داشته باشد، نماد  $j \rightarrow i$  را به کار می‌بریم، و اگر یال بین آنها بدون جهت باشد، از  $j \sim i$  استفاده می‌کنیم. درجه رأس  $v_i$  را با  $d_i$  نشان می‌دهیم. ماتریس راندیچ هرمیتی نوع دوم را به صورت  $(R^{\omega}_{ij}) = (R^{\omega}_{ij}(X))$  تعریف می‌کنیم، که در آن: اگر  $j \sim i$  باشد، داریم  $R^{\omega}_{ij} = \frac{1}{\sqrt{d_i d_j}}$ ؛ اگر  $j \rightarrow i$  باشد، آنگاه  $R^{\omega}_{ij} = \frac{\omega}{\sqrt{d_i d_j}}$  و در سایر حالت‌ها برابر است. در این مقاله، برخی ویژگی‌های طیفی این ماتریس هرمیتی جدید را بررسی می‌کنیم و خصوصیاتی مانند مثبت‌بودن، دوبخشی‌بودن، درهم‌تنیدگی یال‌ها و موارد مشابه را مطالعه می‌نماییم. همچنین چند جمله‌ای مشخصه این ماتریس را محاسبه کرده و کران‌هایی بالا و پایین برای مقادیر ویژه و انرژی آن به دست می‌آوریم.

کلمات کلیدی: گراف مختلط، ماتریس مجاورت هرمیتی، ماتریس راندیچ هرمیتی، انرژی گراف.